Policy regarding discounted tax return preparation for clients doing business with Financial Planning Fort Collins

Financial Planning Fort Collins is a trade name, registered with the Colorado Secretary of State, of Fort Collins Tax Service, LLC. Financial Planning Fort Collins' business segment is the marketing of services related to investment advisory, securities, and insurance. Investment advisory services are offered through Cambridge Investment Research, Inc. Financial Planning Fort Collins is also a Colorado Registered Investment Advisor for financial planning services only.

Clients doing business with Fort Collins Tax Service, LLC may or may not also be doing business with Financial Planning Fort Collins.

Clients doing business with Financial Planning Fort Collins are eligible for discounts on the publicly advertised and / or customary cost of tax return preparation services provided by Fort Collins Tax Service, LLC. The discounts and applicable criteria of eligibility are as follows:

Tier I: Clients utilizing the INVEST:FOCO service platform (generally those clients with $124,999 or less in assets under the management of an Investment Advisor Representative of a Registered Investment Advisor marketed through Financial Planning Fort Collins) are eligible to receive a 10% discount off of the price of the following services:

* Federal Form 1040 & Resident State Return, including Schedule A and E-File
* Preparation of Schedule B
* Preparation of Schedule D (including one page of Form 8949) and one additional page of Form 8949

The maximum discount for Tier I is $26.00

Tier II: Clients utilizing the STRATEGY:FOCO service platform with $125,000 - $499,999 in assets under the management of an Investment Advisor Representative of a Registered Investment Advisor marketed through Financial Planning Fort Collins or those clients paying an annual financial planning fee of $1,250 (or $125 per month) to an Investment Advisor Representative of a Registered Investment Advisor marketed through Financial Planning Fort Collins are eligible to receive a 50% discount off of the price of the following services:

* Federal Form 1040 & Resident State Return, including Schedule A and E-File
* Preparation of Schedule B
* Preparation of Schedule D (including one page of Form 8949) and one additional page of Form 8949

The maximum discount for Tier II is $130.00

Tier III: Clients utilizing the STRATEGY:FOCO service platform with $500,000 or more in assets under the management of an Investment Advisor Representative of a Registered Investment Advisor marketed through Financial Planning Fort Collins are eligible to receive a 100% discount off of the price of the following services:

* Federal Form 1040 & Resident State Return, including Schedule A and E-File

* Preparation of Schedule B
* Preparation of Schedule D (including one page of Form 8949) and one additional page of Form 8949

The maximum discount for Tier III is $260.00

All Tiers: Other services, including the preparation of other Schedules and Forms are available at the full advertised and / or customary price. All services which are priced based on the amount of the final invoice (ie. Audit Representation, Pre-Pay Protection) are calculated from the original, non-discounted invoice amount. No discounts are available for Financial Planning Fort Collins clients utilizing only commission based securities brokerage accounts, direct mutual fund business, and / or insurance services. Discounts are only available to clients who meet the criteria outlined above and who have entered into an agreement covered under the Investment Advisers Act of 1940 with an Investment Advisor Representative of a Registered Investment Advisor marketed through Financial Planning Fort Collins. All account values are measured as of December 31 of the applicable tax year. Financial planning fees, if used to qualify for Tier II, must have been paid within the preceding 12 month period which includes April 15 of the year following the applicable tax year.

Registered Representative, securities offered through Cambridge Investment Research, Inc., a Broker/Dealer, Member FINRA / SIPC. Investment Advisor Representative, Cambridge Investment Research Advisors, Inc., a Registered Investment Advisor. Financial Planning services offered through Financial Planning Fort Collins, a Registered Investment Advisor. Income tax services offered through Fort Collins Tax Service, LLC. Cambridge does not provide legal or tax advice. Financial Planning Fort Collins is a trade name of Fort Collins Tax Service, LLC. Cambridge is not affiliated with any other named entity.